



Attorney Docket No. 15569-0005

**PATENT**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of:** )  
 )  
 **Parsons et al.** )  
 ) **Group Art Unit: 2176**  
**Serial No.:** 10/624,883 )  
 )  
**Filed:** July 21, 2003 )  
 )  
**For:** Method and System for Domain Name Registration and Email by Proxy

**INFORMATION DISCLOSURE STATEMENT**

**Mail Stop Patent Application**  
**Commissioner for Patents**  
**PO Box 1450**  
**Alexandria, VA 22313-1450**

Dear Sir:

Pursuant to 37 C.F.R. Section 1.97 - 1.99, the Applicant wishes to make the following references of record in the above-identified application. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. Section 1.56. These references are also listed on the enclosed PTO Form 1449 and copies are enclosed.

In the judgment of the undersigned, portions of the listed references may be material to the Examiner's consideration of the presently pending claims. This statement is not a representation that the listed references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. Section 102 or Section 103. Undersigned attorney for applicants respectfully submits that patentability of the claims present in the application at this time is not adversely affected by the references listed and supplied.

1140735

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of a national application; within three months of the date of entry into the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or before the mailing date of a first Office Action on the merits. 37 C.F.R. § 1.97 (b)
- ☐ **after** three months of the filing date of a national application, or the date of entry into the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or **after** the mailing date of a first Office Action on the merits, but **before** the mailing date of a Final Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311 (whichever occurs first), and includes (37 C.F.R. § 1.97 (c):
- ☐ the Certification under 37 C.F.R. § 1.97(e) (see "Certification" below)

**OR**

- ☐ the fee of \$240 set forth in 37 C.F.R. § 1.17(p) (see "Fees" below).
- ☐ **after** a Final Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311 (whichever occurs first), but before, or simultaneously with, the payment of the issue fee, and includes the Certification under 37 C.F.R. § 1.97(e) (see "Certification" below), and the Petition Fee set forth in 37 C.F.R. § 1.17(i) (see "Fees" and "Method of Payment of Fees" below). Applicants hereby petitions for consideration of the Information Disclosure Statement submitted herewith and the accompanying references in examination of the subject patent application.

#### CERTIFICATION

- ☐ The **undersigned** hereby certifies that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Information Disclosure Statement.
- ☐ The **undersigned** hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

#### FEES

- ☒ No fee is owed by the applicant(s).
- ☐ The **IDS Fee of \$240.00** under 37 C.F.R. § 1.17(p) is enclosed herewith.

☐ The **Petition Fee of \$130.00** set forth in 37 C.F.R. § 1.17(i) is enclosed herewith.  
**METHOD OF PAYMENT OF FEES**

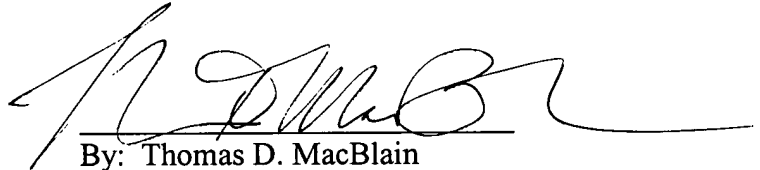
☐ Attached is a check in the amount of \$ .  
☐ Charge Deposit Account No. \_\_\_\_\_ in the amount of \$ . (A duplicate copy of this communication is enclosed for that purpose.)

In accordance with MPEP Sections 609 and 707.05(b), it is requested the document cited be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing on Form PTO-1449. Such initialing is requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

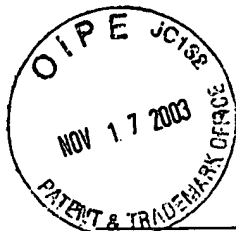
Respectfully Submitted,

Date:

11/17/03

  
By: Thomas D. MacBlain  
Reg. No. 24,583

Gallagher & Kennedy, P.A.  
2575 East Camelback Road  
Phoenix, Arizona 85016-9225  
Tel No. (602) 530-8088  
Fax No. (602) 530-8500

Sheet 1 of 2

FORM PTO-1449

U.S. DEPARTMENT OF  
COMMERCEPATENT AND  
TRADEMARK OFFICEINFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.  
15569-0005SERIAL NO  
10/624,883APPLICANT  
Parsons et al.FILING DATE  
7/21/2003GROUP  
2176

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	20020178381	11/28/2002	Lee et al.			
	6,044,205	03/28/2000	Reed et al.			
	6,286,045	09/04/2001	Griffiths et al.			
	6,308,203	10/23/2001	Itabashi et al.			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
	WO 99/06929	11.02.1999	WIPO			YES	NO
	2,347,053	23.08.2000	UK				
	200167017	22.06.01	JP				
	2002063116	28.02.02	JP				
	2002091874	29.03.02	JP				
	2002297938	11.10.02	JP				
	10275119	13.10.98	JP				

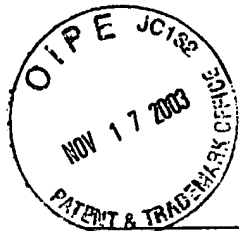
## OTHER DOCUMENTS (Including Author, Title, Date Pertinent Pages, Etc.)

	Zenel, B., "A General Purpose Proxy Filtering Mechanism Applied to the Mobile Environment," Wireless Network, 1999, 391-409
	Hall, R. J., "How to Avoid Unwanted Email," ACM, March 1998, vol. 41, No. 3.
	Smith, R. N., Bhattacharya, S., "Operating Firewalls Outside the LAN Perimeter," IEEE, 1999, pp. 493-498.
	DeLoria, W. C., Bettis, L. J., "DMS Service Mangement," MILCOM 1994, Conf. Record, IEEE, pp. 967-971 vol. 3.

EXAMINER

DATE  
CONSIDERED

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 2 of 2

FORM PTO-1449

U.S. DEPARTMENT OF  
COMMERCEPATENT AND  
TRADEMARK OFFICEINFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.  
15569-0005SERIAL NO  
10/624,883APPLICANT  
Parsons et al.FILING DATE  
7/21/2003GROUP  
2176

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,311,207	10/30/2001	Mighdoll et al.			
	6,314,459	11/06/2001	Freeman			
	6,321,267	11/20/2001	Donaldson			
	6,330,605	12/11/2001	Christensen et al.			
	6,345,294	02/05/2002	O'Toole et al.			
	6,434,600	08/13/2002	Waite et al.			
	6,477,577	11/05/2002	Asano			
	6,480,508	11/12/2002	Mwikalo et al.			

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date Pertinent Pages, Etc.)


EXAMINER

DATE  
CONSIDERED

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)